

# *Ab initio* calculation of structural and electronic properties of $\text{Al}_x\text{Ga}_{1-x}\text{N}$ and $\text{In}_x\text{Ga}_{1-x}\text{N}$ alloys

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Using the density functional theory (DFT) with the generalized gradient approximation (GGA), the structural and electronic properties of wurtzite AlN, GaN, InN, and their related alloys,  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , were calculated. We have performed accurate *ab initio* total energy calculations using the full-potential linearized augmented plane wave (FP-LAPW) method to investigate the structural and electronic properties. In both alloys we found that the fundamental parameters do not follow Vegard's law. The lattice parameters,  $a$ ,  $c$ , and  $u$ , for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy are found to exhibit downward bowing, while for  $\text{In}_x\text{Ga}_{1-x}\text{N}$  there is an upward bowing for the  $a$  and  $c$  parameters and a downward bowing for the internal parameter,  $u$ . Furthermore, we found that for both alloys, the band gap value does not follow Vegard's law. As a by-product of our electronic band structure calculations, the effective masses of the binary compounds as well as their related alloys were calculated. We show that the calculated properties for the binary compounds, as well as for the studied alloys, show good agreement with most of the previously reported results. Finally, using the frozen phonon approach, the  $A_1(TO)$  mode for the different systems studied in this work was calculated. Our calculations show good agreement with experimental values reported for the binary compounds. For the ternary alloys, our calculations reproduce experimental values for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  as well as theoretical predictions for  $\text{In}_x\text{Ga}_{1-x}\text{N}$ .

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## I. INTRODUCTION

The III-Nitride semiconductors have attracted much attention over recent years because of their potential applications in technological devices. This is due mainly to the fact that the energy gap can be tuned over a wide spectral range from the visible to the ultraviolet regime of the electromagnetic spectrum. Although the zinc-blende and wurtzite structures are present in the GaN, AlN, and InN semiconductors, it has been demonstrated experimentally that wurtzite is the most stable structural phase of these compounds. Moreover, due to their high chemical and thermal stability, the III-Nitrides are ideal candidates for applications under extreme conditions such as high temperature applications. In the wurtzite crystalline structure the value of the band gap ranges from 0.8 eV for InN [1], 3.4 eV for GaN [2] and 6.2 eV for AlN [3], providing a huge interval of energies for this parameter whenever the concentration forming the alloy is carefully selected. The hexagonal wurtzite structure is extensively utilized because all of the III-nitride semiconductors and their related alloys exhibit a direct band gap energy, which results in a high emitting performance [4, 5]. Due to the remarkable progress in epitaxial growth technology, high quality samples of these compounds can be produced. High-quality wurtzite InN is currently available and its direct band gap energy has been determined to be around 0.8 eV, which is much smaller than the commonly accepted value of 1.9 eV [1]. From a theoretical point of view, many calculations using different methods have been done to characterize the

structural, electronic, and optical properties of these systems; however there is still no agreement in the scientific community concerning the values of certain parameters, since they show significant scattering when we compare the experimental or theoretical results published in literature, as we discuss in this work.

In this paper, by means of numerical calculations based on first principles, we present a study of the structural and electronic properties of the AlN, GaN, InN semiconductors and their related alloys,  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , with a wurtzite structure. The analysis was made by calculating the total energy from first principles. First, we analyzed the binary compounds, GaN, AlN, and InN, and then their related alloys,  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , for  $x = 0, 0.25, 0.50, 0.75$ , and 1.0. Our calculations were based on the density functional theory (DFT) using the generalized gradient approximation (GGA) in order to calculate the exchange-correlation term in the total energy. We used the Wien2k simulation package developed by the Vienna University of Technology. In section II, we describe the model used in this work, while in section III, we discuss our results and compare them with data found in related literature. Finally, in section IV, we present our conclusions.

## II. THEORETICAL ASPECTS AND COMPUTATIONAL METHOD

Our calculations were performed within the framework of the density functional theory (DFT) [6], which states

that all of the ground state properties of a system are functionals of the electron density and the total energy is expressed in terms of the electron density rather than the wave function. At present, DFT is one of the most accurate methods to calculate the structural and electronic properties of solids. We have used the full-potential linearized augmented plane wave method (FP-LAPW) as implemented in the Wien2k code [7]. As most of the first principles methods, LAPW is a procedure used to solve the Kohn-Sham set of equations for the density of the ground state, the total energy, and the eigenvalues of a many-electron system. In the present analysis, the exchange-correlation energy of the electrons was treated using the generalized gradient approximation (GGA) [8]. To minimize the energy, the Wien2k code divides the unit cell into non-overlapping spheres centered at atomic sites (muffin-tin (MT) spheres), and the interstitial region. In the MT spheres, the Kohn-Sham orbitals are expanded as a linear product of radial functions and spherical harmonics, and as a plane wave expansion in the interstitial region. The basis set inside each MT sphere is divided into core and valence subsets. The core states are treated within the spherical part of the potential only and are assumed to have a spherically symmetric charge density that is completely confined within the MT spheres. In this work, the valence part was treated within a potential that was expanded into harmonics up to  $l = 10$ . We have used MT sphere radii of 1.6 Bohr for N, 1.9 Bohr for Al, 2.0 Bohr for Ga, and 2.33 Bohr for In. The self-consistent calculation was considered to converge when the total energy of the system was stable to within  $10^{-5}$  Ry. Care was taken to assure the convergence of the total energy in terms of the variational cutoff energy parameter. Furthermore, we have used an appropriate set of k-points to compute the total energy. To calculate the convergence of the total energy we wrote the basis functions up to a cutoff radius of  $R_{mt}K_{max} = 7$  Ry for both of the binary compounds and the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, and  $R_{mt}K_{max} = 8$  Ry for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy. We have minimized the total energy using different sets of k-points in the irreducible part of the Brillouin zone and constructing an appropriate grid in the unit cell according to the Monkhorst-Pack procedure [9]. The number of k-points used was chosen in order to assure convergence within our accuracy criterion ( $10^{-5}$  Ry).

In the wurtzite structure, the positions of the atoms inside the unit cell are  $(0, 0, 0)$  and  $(2/3, 1/3, 1/2)$  for the cation Al, Ga, In; and  $(0, 0, u)$  and  $(2/3, 1/3, 1/2 + u)$  for the anion N, where  $u$  is the internal parameter for the cation-anion separation. We began our study by optimizing the structural parameters for the binary compounds, GaN, AlN, and InN, starting from the ideal wurtzite structure with a ratio  $c/a = 1.633$  and  $u = 0.375$  for the internal parameter. This optimization was made by an iterative process as a function of the volume,  $V$ , the  $c/a$  ratio, and the internal parameter,  $u$ , until the total energy converged to within 0.01 mRy. To model the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  ternary alloys, we used

a 32-atom supercell with periodic boundary conditions. This corresponds to a  $2 \times 2 \times 2$  supercell which is twice the size of the primitive wurtzite unit cell in both directions: along the basal plane and along the  $c$ -axis. We minimized the total energy for different values of the concentration,  $x$  (0.25, 0.50, and 0.75), as a function of the three variables mentioned above. The atomic electronic configuration used in our calculations was: Al (Ne, 3p, 3s), Ga (Ar, 3d, 4s, 4p), In (Kr, 4d, 5s, 5p), N (He, 2s, 2p). The Ga3d and In4d electrons are treated as valence band states using the local orbital extension of the LAPW method [7].

### III. RESULTS AND DISCUSSION

#### A. Structural parameters for the $\text{Al}_x\text{Ga}_{1-x}\text{N}$ and $\text{In}_x\text{Ga}_{1-x}\text{N}$ alloys

##### 1. $\text{Al}_x\text{Ga}_{1-x}\text{N}$

Table 1 summarizes our results and compares them with experimental and theoretical results reported previously using different methods. In going from GaN to AlN, when the Al-content increases, the values of the lattice parameters of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy decrease. This is due to the fact that the size of the Al atom is smaller than the Ga atom. This is not the case for the internal parameter,  $u$ , in which we observe an increase of this parameter when we increase the Al content of the alloy. Figure 1a depicts the behavior of the  $a$  and  $c$  parameters as a function of the Aluminum concentration. We can see from the figure that these parameters show a clear deviation from the linear behavior stated by Vegard's law, which determines the parameter behavior of these alloys with a zinc-blende structure [10]. The deviation from Vegard's law can be quantified by adjusting the curves in Figure 1a to the following formula:

$$A(x) = xA_{\text{AlN}} + (1-x)A_{\text{GaN}} - \epsilon_A x(1-x); \quad (1)$$

where  $A(x)$  stands for the different structural parameters,  $a$ ,  $c$ , and  $u$ , of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy.  $A_{\text{AlN}}$  ( $A_{\text{GaN}}$ ) represents the structural parameters of the binary AlN (GaN) compound and  $\epsilon_A$  is the respective bowing parameter for the lattice and internal parameters. If we fit our calculated values from Table I to Eq. (1), we obtain a set of bowing parameters:  $\epsilon_a = 0.016$  Å,  $\epsilon_c = 0.119$  Å, and  $\epsilon_u = 0.002$ . We observe that all bowing parameters have a positive value, which indicates a downward bowing, being the lattice constant  $c$  which possesses a larger deviation from the linear Vegard's law, as was experimentally reported by S. Yoshida et al. [13], and by Yun et al. [14]. Other works report that the lattice parameters follow Vegard's law (see for example Angerer et al. [15]); however, from a theoretical approach, this alloy has only been studied using the virtual crystal approximation (VCA) by M. Goano et al. [11] or by using

first principles by Z. Dridi et al. [12]. Other authors have reported a non linear behavior of the lattice parameters, but their work demonstrates an upward bowing of the parameters [16].

To compare our results with the available experimental information, we plot in Fig. 1a the calculated value of the  $c$  parameter obtained by Eq. (1) together with the experimental results found in literature [13]. As we can see, our calculated values show the same trend depicted by the experimental data. Other examples of experimental studies for this alloy can be found in the works by D. K. Wickenden et al. [17], and by K. Itoh et al. [18].

## 2. $\text{In}_x\text{Ga}_{1-x}\text{N}$

The recent developments in blue-green optoelectronics are essentially due to the high efficiency luminescence of  $\text{In}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  heterostructures. Despite their importance, several properties of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys are not fully understood. For example, the optical properties of  $\text{InN}$  crystals are poorly known since the available growth techniques have not allowed the production of high quality epitaxial layers. Recent improvements in the molecular beam epitaxy (MBE) technique have led to the availability of high quality  $\text{InN}$  films. Photoluminescence measurements of these films indicate an energy gap around 1 eV or less [1]. The  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy has been studied theoretically by several groups using different methods. M. Goano et al. [11] used pseudopotentials to compute the gap through the virtual crystal approximation approach. Z. Dridi et al. [12] used LDA FP-LAPW and the virtual crystal approximation approach. J. Serrano et al. [26] worked within the framework of the density functional theory (DFT) with the local density approximation (LDA) using the Ceperley-Alder form for the exchange-correlation energy. C. Stampfl et al. [27] utilized the DFT, the LDA, and the GGA of Perdew et al. for the exchange-correlation functional. A. Zoroddu et al. [28] from first principles within the DFT utilized the plane-wave ultrasoft pseudopotential method within both the LDA and the GGA. And P. Carrier et al. [29] used plane-wave pseudopotentials and the LAPW method with the LDA.

In Table II we summarize our results and compare them with some of the representative theoretical and experimental results found in literature. We can see from these results that the values of the  $a$  and  $c$  parameters increase when the In concentration increases. We plot these results in Fig. 1b. It is clear from the figure that, as in the previous case, there is not a linear dependence in these two parameters with an increase in the In concentration. If we adjust these results using Eq. (1), as we did for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, we obtain:  $\epsilon_a = -0.140$  Å,  $\epsilon_c = -0.188$  Å, and  $\epsilon_u = 0.0001$ . For this alloy we can observe that the deviation parameters for both  $a$  and  $c$  have a negative value, which implies an upward bowing and is clearly observed in Fig. 1b. This is not the case

for the internal parameter,  $u$ , which has a nearly linear dependence with the In concentration as demonstrated by the bowing parameter,  $\epsilon_u = 0.0001$ . The simulation results indicate that the  $c$  lattice constant has a larger deviation from the linear Vegard's law when compared with the lattice constant  $a$ . Finally in Fig. 2, we plot the internal parameter,  $u$ , for both alloys as a function of the concentration,  $x$ . Solid circles and squares correspond to our theoretical results for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , respectively, and the solid lines are obtained by fitting the results with Eq. (1).

After comparing our results with the experimental and theoretical works found in literature for both alloys we conclude that: 1) Our results for the binary compounds are in agreement with the published data, both experimental and theoretical. 2) For the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, the structural parameters calculated in this work are in agreement with those reported in Ref. [13]. For this alloy, the bowing parameters for  $a$ ,  $c$ , and  $u$ , have positive values, indicating a downward bowing. This is in agreement with experimental and theoretical results reported previously. 3) For the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy, the bowing parameter of the  $a$  and  $c$  lattice constants have a negative value, while the internal parameter,  $u$ , has a positive value. Reported theoretical calculations do not give any information about the bowing for the  $u$  parameter and it is not possible to obtain it from experimental measurements. To the best of our knowledge, this is the first time that the value of this parameter has been reported.

## B. Electronic Structure for the $\text{Al}_x\text{Ga}_{1-x}\text{N}$ and $\text{In}_x\text{Ga}_{1-x}\text{N}$ alloys

Before presenting our results of the electronic structure, we provide a summary of some representative theoretical and experimental results reported in literature.

### 1. $\text{Al}_x\text{Ga}_{1-x}\text{N}$

Hagan et al. [33] and Baranov et al. [34] were the first to demonstrate experimentally the existence of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy. Many other groups have measured its lattice constant,  $c$ , the optical bowing parameter,  $\delta$ , and the energy gap as a function of the concentration. The magnitude of the optical bowing parameter accounts for the deviation of the band gap from the linear dependence. Using MBE, S. Yoshida et al. [13] measured the lattice constant and the band gap for the entire interval of concentrations ( $0 < x < 1$ ). Comparing our results for the lattice constant with those reported by this author, there is a very good agreement over the entire interval of concentrations. Although our calculated band gap value shows the experimental trend reported by Yoshida et al. [13], we obtain slightly different values as can be seen in Fig. 3. However, other reported values for the band gap are well reproduced in our calculations (see Fig. 3).

There are many experimental reports for this system obtained by different techniques and for different values of the concentration. In all these references there is not a general agreement concerning if the fundamental parameters, i.e. the lattice constants and the band gap value, follow Vegard's law. Positive, negative, or small values of the optical bowing parameter can be found throughout the literature [2, 13, 17, 18, 35, 36]. Theoretical results have been reported using the  $\mathbf{k}\cdot\mathbf{p}$  method, the semi-empirical pseudopotentials method, *ab initio* LDA, DFT-LDA using molecular dynamics, and plane waves pseudopotentials using DFT-LDA.

## 2. $\text{In}_x\text{Ga}_{1-x}\text{N}$

The first  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy with a high degree of ordering in layers grown on sapphire (0001) using metal-organic chemical vapor deposition (MOCVD) were obtained by Ruterana et al. [45]. Samples grown with different concentrations and using different techniques have been studied and authors report various values for the optical bowing parameter. In Table III we summarize the theoretical and experimental results obtained from the literature for both alloys along with our calculated values obtained for the optical bowing parameter. It can be seen from the table that there is a large discrepancy between the reported experimental and theoretical values. For theoretical calculations, most of the reported values are greater than one and they show a larger scattering of the numerical values, especially for the case of the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy.

In Table IV we show the obtained results for the energy gap of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys from our *ab initio* calculations for different values of the concentration,  $x$ . These values correspond to 0, 25, 50, 75 and 100 % Al and In substitution, respectively. The plots corresponding to these data are displayed in Fig. 3. It can be seen that when the concentration of Al is increased the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy shows a nearly linear dependence. On the other hand, the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy shows a non-linear dependence when we increase the In concentration. For both alloys we fit the gaps obtained by our calculations to a non-linear dependence using the quadratic phenomenological function:

$$E_g(x) = xE_{g,A} + (1-x)E_{g,B} - \delta x(1-x); \quad (2)$$

where  $E_{g,A}$  and  $E_{g,B}$  corresponds to the gap of the AlN (InN) and GaN for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  ( $\text{In}_x\text{Ga}_{1-x}\text{N}$ ) alloy. Substituting the values of Table IV into Eq. (2) we found  $\delta = 0.3185$  and  $0.9990$  for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , respectively. The solid lines in Fig. 3 correspond to the non-linear fitting given by Eq. (2). It can be seen from this figure that there is a clear non-linear dependence for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy.

Concerning the electronic structure of these alloys, after comparing our results with those reported in literature we conclude that: 1) For both alloys, most of the

experimental results consider concentrations lower than  $x=0.5$ . This could account for the scattered values reported for the bowing parameter; however, experiments considering the whole interval of concentrations are better reproduced by our calculations. 2) The obtained value in this work of  $\delta = 0.3185$  for the bowing parameter of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy is in agreement with most of the experimental and theoretical results reported previously. Although many authors claim that the dependence on concentration of the band gap parameter of this alloy should follow a Vegard's-type law ( $\delta = 0.0$ ), it has become more accepted that there is a small deviation in the linear dependence. 3) For the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy the reported results show a large scattering, especially in the experimental data. This has been partially explained in the literature as due to an inaccurate determination of the concentration. The quality of the samples and the measurement technique also plays an important role in the determination of the optical bowing parameter. For this alloy, the scattering in the theoretical results reported in the literature is lower. Our calculations determined a value of  $\delta = 0.9990$ , which is in good agreement with those reported previously.

Figure 3 shows a comparison of our theoretical results with the reported experimental results for the band gap energy as a function of concentration for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys. It can be seen from the figure that there is excellent agreement between our results and those reported, especially for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy. Most of the reported results for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy are given for low concentrations of the In impurity. Nevertheless, our theoretical results follow the tendency demonstrated by experimental and theoretical results reported previously.

## C. Calculated effective masses

As a by-product of our electronic band structure calculations, it is easy to compute the curvature of the minimum of the conduction band as well as the maximum of the valence band in the vicinity of the  $\Gamma$ -point. From these values the effective masses of the electrons and holes can be obtained.

At the  $\Gamma$ -point the  $s$ -like conduction band effective mass can be obtained through a simple parabolic fit using the definition of the effective mass as the second derivative of the energy band with respect to the wave vector,  $\mathbf{k}$ , via:

$$\frac{m_o}{m^*} = -\frac{m_o}{\hbar^2} \frac{\partial^2 E}{\partial k^2} \quad (3)$$

where  $m^*$  is the conduction electron effective mass and  $m_o$  is the free electron mass.

The valence band states at the  $\Gamma$ -point are derived from  $p$ -bonding states and for the wurtzite crystals these states are not as symmetric as the conduction band. However, we can calculate the curvature of the valence

band maximum using the following approach: if the spin-orbit interaction were neglected, the top of the valence band would have a parabolic behavior. This implies that the highest valence bands are parabolic in the vicinity of the  $\Gamma$ -point. In this work, all the systems studied satisfy this parabolic condition of the maximum of the valence band at the  $\Gamma$ -point [46]. Within this approach, and by using the appropriate expression of Eq. (3) (using a plus sign instead of the minus sign in the prefactor), we have calculated the effective masses of the heavy holes at the  $\Gamma$ -point.

Table V shows our calculated effective masses for the binary compounds, AlN, GaN, and InN, as well as for their related alloys. This table also includes theoretical and experimental values that were reported in literature, for comparison.

For the binary compounds, we conclude that our calculated effective masses are in the range of most of the reported theoretical and experimental values. Moreover, we obtain that our calculated values are in good agreement with the values recommended by Vurgaftman and Meyer [49].

We report in the same table our calculated effective masses for the alloys studied in this work. The calculated value for the conduction electron effective mass for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  increases as a function of the Al concentration. It should be noted that although we could not find published data for this parameter in the wurtzite phase, we did find calculated values for the electron effective mass of the alloy in the cubic phase [47]. The behavior for our calculations and the reported cubic phase values show the same trend. The same behavior was also obtained for the heavy hole effective mass.

The calculated effective masses for  $\text{In}_x\text{Ga}_{1-x}\text{N}$  show a non monotonic behavior as a function of the In concentration as seen in Table V. Comparison with empirical pseudopotential calculations for the conduction electron effective mass in the cubic phase of this alloy [48], reported for intermediate values of the In impurity, shows that we obtain similar behavior for our calculated effective masses.

#### D. Zone center phonon calculation

Using the frozen phonon approach, we can compute the zone center phonon modes,  $A_1(TO)$  and  $E_1(TO)$ , by considering the change of the total energy as a function of the displacement,  $u$ , of the atoms in the unit cell from their equilibrium positions. In particular, we can compute the  $A_1(TO)$  mode by considering small displacements of the atoms along the optical axis (the  $c$ -axis) of the wurtzite phase. Then, as we are at the minimum of the total energy of the system, the perturbation around this minimum allows us to use the next parabolic approach to the total energy as a function of the displacement:

$$E_{Total}(u) = E_o + uE_1 + u^2E_2 = m\omega; \quad (4)$$

Where  $E_i$  ( $i = 0, 1, 2$ ) are fit parameters,  $\mu$  is the reduced mass, and  $\omega$  is the frequency. With this approach, our calculated value for the  $A_1(TO)$  mode for the binary compounds shows good agreement with most of the experimental reports as shown in Table VI. Figure 4a shows our calculated  $A_1(TO)$  mode for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, which is compared with the experimental data of Refs. [59, 60], as well as the theoretical calculations of Ref. [61]. Although, we obtain slightly different values over the range of Al concentration, we observe that the figure depicts the same trend shown by the experimental reports. Figure 4b shows our calculated  $A_1(TO)$  mode for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy and compares them with theoretical calculations reported in Ref. [61]. As in the previous case, it can be seen that our calculated values for the  $A_1(TO)$  mode reproduce the trend reported in Ref. [61]. In this way we show that our calculations properly reproduce the reported values of the  $A_1(TO)$  mode for the alloys and their binary parent compounds studied in this work.

#### IV. CONCLUSIONS

We have calculated the structural and electronic properties of wurtzite AlN, GaN, InN, and their related alloys,  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ . We found that, for both alloys, their structural parameters as a function of the concentration,  $x$ , do not follow Vegard's law. We observe that for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, the  $a$ ,  $c$ , and  $u$  parameters have a positive bowing parameter, of which, the lattice constant,  $c$ , demonstrates the largest value. On the other hand, when the concentration increases in the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy, the bowing parameter for the  $a$  and  $c$  lattice constants have a negative value and the bowing parameter for the internal parameter,  $u$ , remains positive. From our results of the electronic band structure calculations we obtained the band gap energy as a function of the concentration,  $x$ , and characterized the deviation from the linear behavior by calculating the optical bowing parameter,  $\delta$ . We obtain a small optical bowing parameter  $\delta = 0.3185$  for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy, which is consistent with results reported previously. The deviation from the linear behavior is more drastic for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy, which has  $\delta = 0.9990$  in accordance with most of the experimental results found in literature. The effective masses of the systems studied in this work were calculated and we found that our calculated effective masses for the binary compounds, AlN, GaN, and InN, are in the range of the reported values in the recent literature. To the best of our knowledge, this is the first time that the deviation parameter for the internal parameter,  $\epsilon_u$ , as well as the effective masses for these alloys have been reported. Finally, using the frozen phonon approach we have computed the  $A_1(TO)$  mode for the different systems studied in this work. From our calculations we have found good agreement with experimental values reported for the binary compounds. For

the ternary alloys, our calculations reproduce experimental values for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$ , as well as theoretical predictions for  $\text{In}_x\text{Ga}_{1-x}\text{N}$ .

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TABLE I: Structural parameters (in Å) for the AlN, GaN, and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloys. The lattice parameters  $a$  and  $c$  are given in Å.

		Present Work	Exp. Results	Other Calc.
GaN	a	3.2209	$3.1890^a, 3.1892^b$	$3.1660^c, 3.189^d, 3.2^e$
			$3.1880^f, 3.19^g$	$3.1800^h, 3.1986^i, 3.17^j, 3.183^k$
	c	5.2368	$5.1850^a, 5.185^b$	$5.1540^c, 5.185^d, 5.2200^e$
			$5.18561^f, 5.189^g$	$5.1898^h, 5.2262^i, 5.151^j, 5.178^k$
	u	0.3780	$0.3768^a, 0.377^g$	$0.3770^c, 0.3768^d, 0.3760^e$
				$0.3760^h, 0.3772^i, 0.3768^j$
$\text{Al}_{0.25}\text{Ga}_{0.75}\text{N}$	a	3.2059	See Ref. [13, 14]	$3.163^k$
	c	5.1338		$5.137^k$
	u	0.3781		
$\text{Al}_{0.50}\text{Ga}_{0.50}\text{N}$	a	3.1719	See Ref. [13, 14]	$3.139^k$
	c	5.1012		$5.085^k$
	u	0.3790		
$\text{Al}_{0.75}\text{Ga}_{0.25}\text{N}$	a	3.1601	See Ref. [13, 14]	$3.098^k$
	c	5.0870		$4.990^k$
	u	0.3791		
AlN	a	3.1411	$3.1120^a, 3.11^g$	$3.0920^c, 3.084^d, 3.1^e$
			$3.1106^l$	$3.0610^h, 3.10954^i, 3.098^j, 3.076^k$
	c	5.0268	$4.9820^a, 4.98^g$	$4.954^c, 4.9948^d, 5.010^e$
			$4.9795^l$	$4.8976^h, 4.9939^i, 4.9599^j, 4.935^k$
	u	0.3805	$0.3819^a, 0.3821^g$	$0.3821^c, 0.3814^d, 0.3800^e$
				$0.3820^h, 0.3819^i, 0.3819^j$

<sup>a</sup>Ref. [19]

<sup>b</sup>Ref. [20]

<sup>c</sup>Ref. [12]

<sup>d</sup>Ref. [24]

<sup>e</sup>Ref. [25]

<sup>f</sup>Ref. [21]

<sup>g</sup>Ref. [22]

<sup>h</sup>Ref. [26]

<sup>i</sup>Ref. [28]

<sup>j</sup>Ref. [29]

<sup>k</sup>Ref. [16]

<sup>l</sup>Ref. [23]



TABLE II: Structural parameters (in Å) for the GaN, InN and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys. The lattice parameters  $a$  and  $c$  are given in Å.

		Present Work	Exp. Results	Other Calc.
GaN	a	3.2209	$3.1890^a, 3.1892^b$ $3.1880^f, 3.19^g$	$3.1660^c, 3.189^d, 3.2000^e$ $3.1800^h, 3.1986^i, 3.17^j$
	c	5.2368	$5.1850^a, 5.185^b$ $5.18561^f, 5.189^g$	$5.1540^c, 5.185^d, 5.2200^e$ $5.1898^h, 5.2262^i, 5.151^j$
	u	0.3780	$0.3768^a, 0.377^g$	$0.3770^c, 0.3768^d, 0.3760^e$ $0.3760^h, 0.3772^i, 0.3768^j$
$\text{In}_{0.25}\text{Ga}_{0.75}\text{N}$	a	3.3298		
	c	5.3987		
	u	0.3791		
$\text{In}_{0.50}\text{Ga}_{0.50}\text{N}$	a	3.4128		
	c	5.5257		
	u	0.3792		
$\text{In}_{0.75}\text{Ga}_{0.25}\text{N}$	a	3.4969		
	c	5.6333		
	u	0.3796		
InN	a	3.5440	$3.5365^k, 3.5378^l$ $3.548^m, 3.540^n$	$3.520^c, 3.501^d, 3.480^e$ $3.525^h, 3.614^i, 3.546^j$
	c	5.7228	$5.7039^k, 5.7033^l$ $5.76^m, 5.705^n$	$5.675^c, 5.669^d, 5.64^e$ $5.68583^h, 5.8836^i, 5.7162^j$
	u	0.3806		$0.3799^c, 0.3784^d, 0.378^e$ $0.379^h, 0.37929^i, 0.379^j$

<sup>a</sup>Ref. [19]

<sup>b</sup>Ref. [20]

<sup>c</sup>Ref. [12]

<sup>d</sup>Ref. [24]

<sup>e</sup>Ref. [25]

<sup>f</sup>Ref. [21]

<sup>g</sup>Ref. [22]

<sup>h</sup>Ref. [26]

<sup>i</sup>Ref. [28]

<sup>j</sup>Ref. [29]

<sup>k</sup>Ref. [1]

<sup>l</sup>Ref. [30]

<sup>m</sup>Ref. [31]

<sup>n</sup>Ref. [32]

TABLE III: Experimental and theoretical results for the optical bowing parameter  $\delta$  for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$ .

$\text{Al}_x\text{Ga}_{1-x}\text{N}$			
Experimental	$\delta$ [eV]	Theoretical	$\delta$ [eV]
[2] Y. Koide, for $0 \leq x \leq 0.4$ using MOVPE	$\approx 1$	[11] M. Goano using pseudopotentials and VCA	0.069
[13] S. Yoshida, $0 \leq x \leq 1$ using MBE at $700^\circ$	$\approx 0$	[12] Z. Dridi using FP-LAPW LDA and VCA	0.710
[14] F. Yun, for $0 \leq x \leq 1$ using MBE at $600-670^\circ$	1	[17] D. K. Wickenden, $0 \leq x \leq 0.4$ using MOCVD	$\approx 0$
[35] M. A. Khan, $0 \leq x \leq 1$ using MOCVD at $\approx 0$ $915^\circ$	$\approx 0$	[37] K. Chen using <i>ab initio</i> Molecular dynamics	1.40
[36] T. Takeuchi, for $0 \leq x \leq 0.25$ using MOVPE	$\approx 1$	[38] S. K. Pugh using first principles $\mathbf{k} \cdot \mathbf{p}$ method	$\approx 0$
[39] O. Ambacher Review article	1	Value calculated in this work	0.3185
$\text{In}_x\text{Ga}_{1-x}\text{N}$			
Experimental	$\delta$ [eV]	Theoretical	$\delta$ [eV]
[1] V. Yu. Davydov <i>et al.</i> for $0.36 < x < 1$	2.50	[11] M. Goano <i>et al.</i>	1.05
[36] T. Takeuchi <i>et al.</i>	3.20	[12] Z. Dridi <i>et al.</i>	1.70
[40] S. Nakamura <i>et al.</i> , for $0 \leq x \leq 0.4$	1.00	[43] C. Caetano <i>et al.</i> , using FP and LDA	1.44
[41] M. D. McCluskey <i>et al.</i> , for $0 \leq x \leq 0.12$	3.5	[24] A. F. Wright <i>et al.</i>	0.1677
[42] C. Wetzel <i>et al.</i> , for $0 \leq x \leq 0.2$ using MOVPE	2.6	Value calculated in this work	0.999
[44] J. Wu <i>et al.</i> , for $0 \leq x \leq 0.5$ using MBE	1.4		

TABLE IV: Band gap energy (eV) for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys as a function of the concentration,  $x$ , obtained in the present work.

System	$E_{gap}$ (eV)
GaN	1.768
$\text{Al}_{0.25}\text{Ga}_{0.75}\text{N}$	2.319
$\text{Al}_{0.50}\text{Ga}_{0.50}\text{N}$	2.830
$\text{Al}_{0.75}\text{Ga}_{0.25}\text{N}$	3.5123
AlN	4.027
GaN	1.768
$\text{In}_{0.25}\text{Ga}_{0.75}\text{N}$	1.088
$\text{In}_{0.50}\text{Ga}_{0.50}\text{N}$	0.871
$\text{In}_{0.75}\text{Ga}_{0.25}\text{N}$	0.489
InN	0.299

TABLE V: The electron and hole effective masses for AlN, GaN, InN and their alloys.  $m^*$  denote the average masses. The average effective mass can be obtained using  $m^* = [m_{\Gamma \rightarrow M}^{\perp} m_{\Gamma \rightarrow K}^{\perp} m_{\Gamma \rightarrow A}^{\parallel}]^{1/3}$ . Where,  $m^{\perp}$  and  $m^{\parallel}$  denote the  $\mathbf{k}$  direccion dependent masses perpendicular and parallel to the c axis, respectively. All values are in units of a free-electron mass  $m_0$ . For a recommended set of values for the binary compounds see Ref. [49].

		Present work		Other results			
		$m_e$	$m_h$	$m_e$		$m_h$	
AlN	$m^{\perp}$	0.3012	4.3243	0.30 <sup>a</sup>	0.33 <sup>b</sup>	0.33 <sup>c</sup>	4.35 <sup>c</sup>
	$m^{\parallel}$	0.2847	0.2427	0.32 <sup>a</sup>	0.32 <sup>b</sup>	0.32 <sup>c</sup>	0.28 <sup>c</sup>
	$m^*$	0.2956	1.6528	0.31 <sup>d</sup>	0.48 <sup>e</sup>		
Al <sub>0.75</sub> Ga <sub>0.25</sub> N	$m^{\perp}$	0.2682	3.5247				
	$m^{\parallel}$	0.2913	0.9325				
	$m^*$	0.2749	1.4743				
Al <sub>0.50</sub> Ga <sub>0.50</sub> N	$m^{\perp}$	0.2330	2.3039				
	$m^{\parallel}$	0.2411	0.5345				
	$m^*$	0.2427	1.2961				
Al <sub>0.25</sub> Ga <sub>0.75</sub> N	$m^{\perp}$	0.2020	3.1232				
	$m^{\parallel}$	0.1958	0.1741				
	$m^*$	0.2000	1.1969				
GaN	$m^{\perp}$	0.1491	2.1072	0.20 <sup>a</sup>	0.21 <sup>b</sup>	0.22 <sup>c</sup>	0.39 <sup>c</sup>
	$m^{\parallel}$	0.1803	2.1048	0.20 <sup>a</sup>	0.19 <sup>b</sup>	0.20 <sup>c</sup>	2.04 <sup>c</sup>
	$m^*$	0.1692	2.1412	0.22 <sup>f</sup>	0.23 <sup>g</sup>	0.20 <sup>h</sup>	2.2 <sup>i</sup>
				0.18 <sup>j</sup>	0.20 <sup>k</sup>	0.24 <sup>l</sup>	
				0.215 <sup>m</sup>			
In <sub>0.25</sub> Ga <sub>0.75</sub> N	$m^{\perp}$	0.1069	2.5409				
	$m^{\parallel}$	0.0968	2.4447				
	$m^*$	0.1035	2.4953				
In <sub>0.50</sub> Ga <sub>0.50</sub> N	$m^{\perp}$	0.1182	2.7958				
	$m^{\parallel}$	0.0921	2.6116				
	$m^*$	0.1025	2.8371				
In <sub>0.75</sub> Ga <sub>0.25</sub> N	$m^{\perp}$	0.0717	2.0304				
	$m^{\parallel}$	0.0623	2.1486				
	$m^*$	0.0781	2.2179				
InN	$m^{\perp}$	0.1299	1.9096	0.07 <sup>a</sup>	0.068 <sup>b</sup>	0.07 <sup>c</sup>	2.967 <sup>n</sup>
				0.068 <sup>n</sup>			
	$m^{\parallel}$	0.0892	2.2051	0.07 <sup>a</sup>	0.065 <sup>b</sup>	0.06 <sup>c</sup>	2.566 <sup>n</sup>
				0.072 <sup>n</sup>			
	$m^*$	0.1146	2.0301				

<sup>a</sup>Ref. [49]

<sup>b</sup>Ref. [58]

<sup>c</sup>Ref. [29]

<sup>d</sup>Ref. [56]

<sup>e</sup>Ref. [57]

<sup>f</sup>Ref. [50]

<sup>g</sup>Ref. [51]

<sup>h</sup>Ref. [53]

<sup>i</sup>Ref. [52]

<sup>j</sup>Ref. [54]

<sup>k</sup>Ref. [57]

<sup>l</sup>Ref. [62]

<sup>m</sup>Ref. [63]

<sup>n</sup>Ref. [64]

TABLE VI: Calculated  $A_1(TO)$  mode for the different systems studied in this work. Comparison with other calculations and experimental data (all values in  $\text{cm}^{-1}$ ).

System	Present work	Other results	Experimental
GaN	516	537 <sup>a</sup> 545 <sup>b</sup>	533.8 <sup>c</sup> 533.5 <sup>d</sup>
Al <sub>25</sub> Ga <sub>75</sub> N	529		
Al <sub>50</sub> Ga <sub>50</sub> N	538		
Al <sub>25</sub> Ga <sub>25</sub> N	548		
AlN	565	649 <sup>a</sup> 615 <sup>c</sup> 619 <sup>e</sup>	613.8 <sup>c</sup>
In <sub>25</sub> Ga <sub>75</sub> N	507		
In <sub>50</sub> Ga <sub>50</sub> N	491		
In <sub>75</sub> Ga <sub>25</sub> N	475		
InN	466	443 <sup>f</sup>	447 <sup>g</sup> 440 <sup>f</sup>

<sup>a</sup>Ref. [65]

<sup>b</sup>Ref. [66]

<sup>c</sup>Ref. [67]

<sup>d</sup>Ref. [68]

<sup>e</sup>Ref. [69]

<sup>f</sup>Ref. [70]

<sup>g</sup>Ref. [71]

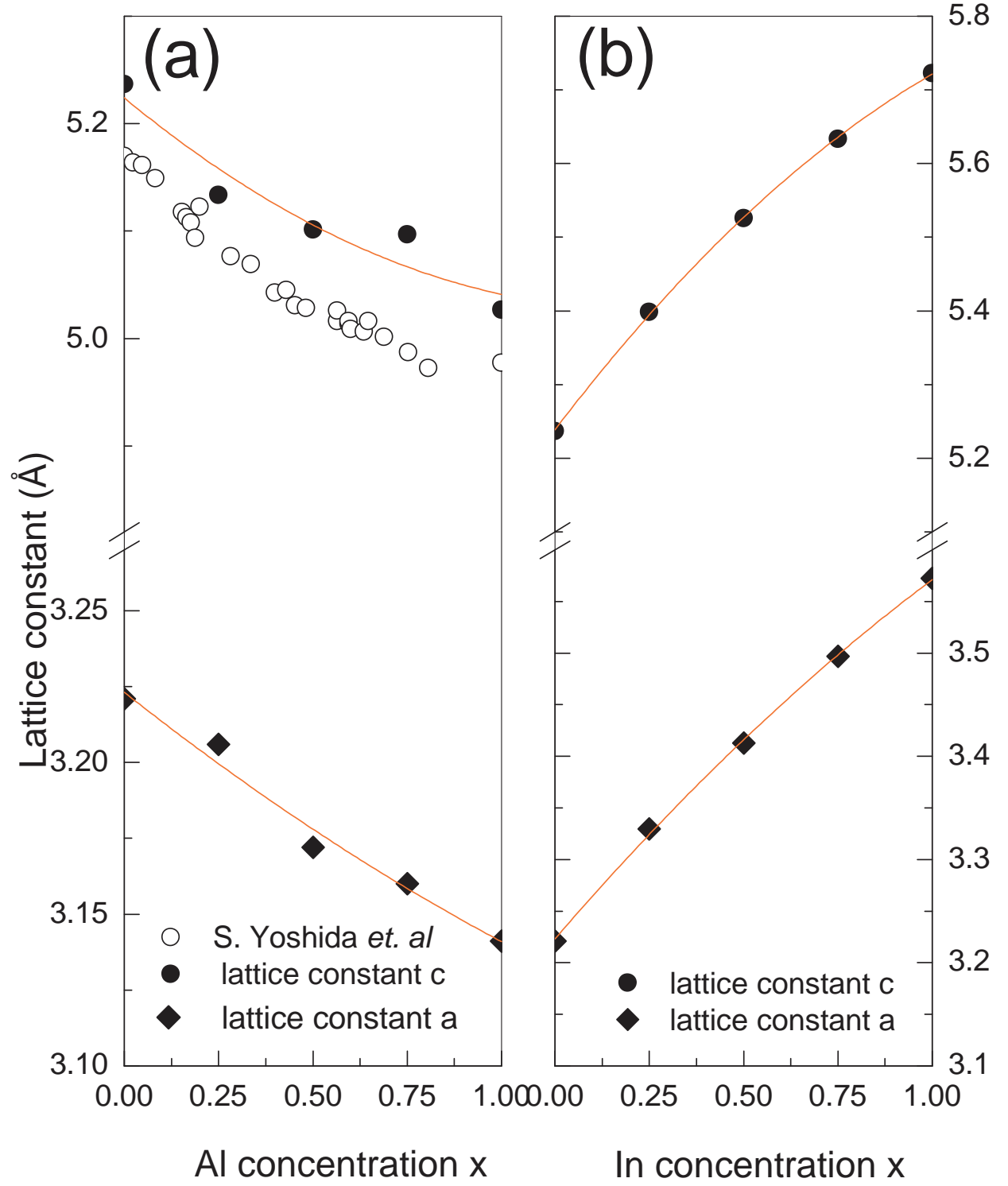


FIG. 1: (a) Lattice constants  $a$  and  $c$  for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy as a function of the Aluminium composition,  $x$ . Open circles show the experimental results from Ref [13]. (b) Lattice constants  $a$  and  $c$  for the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloy as a function of the Indium composition,  $x$ .

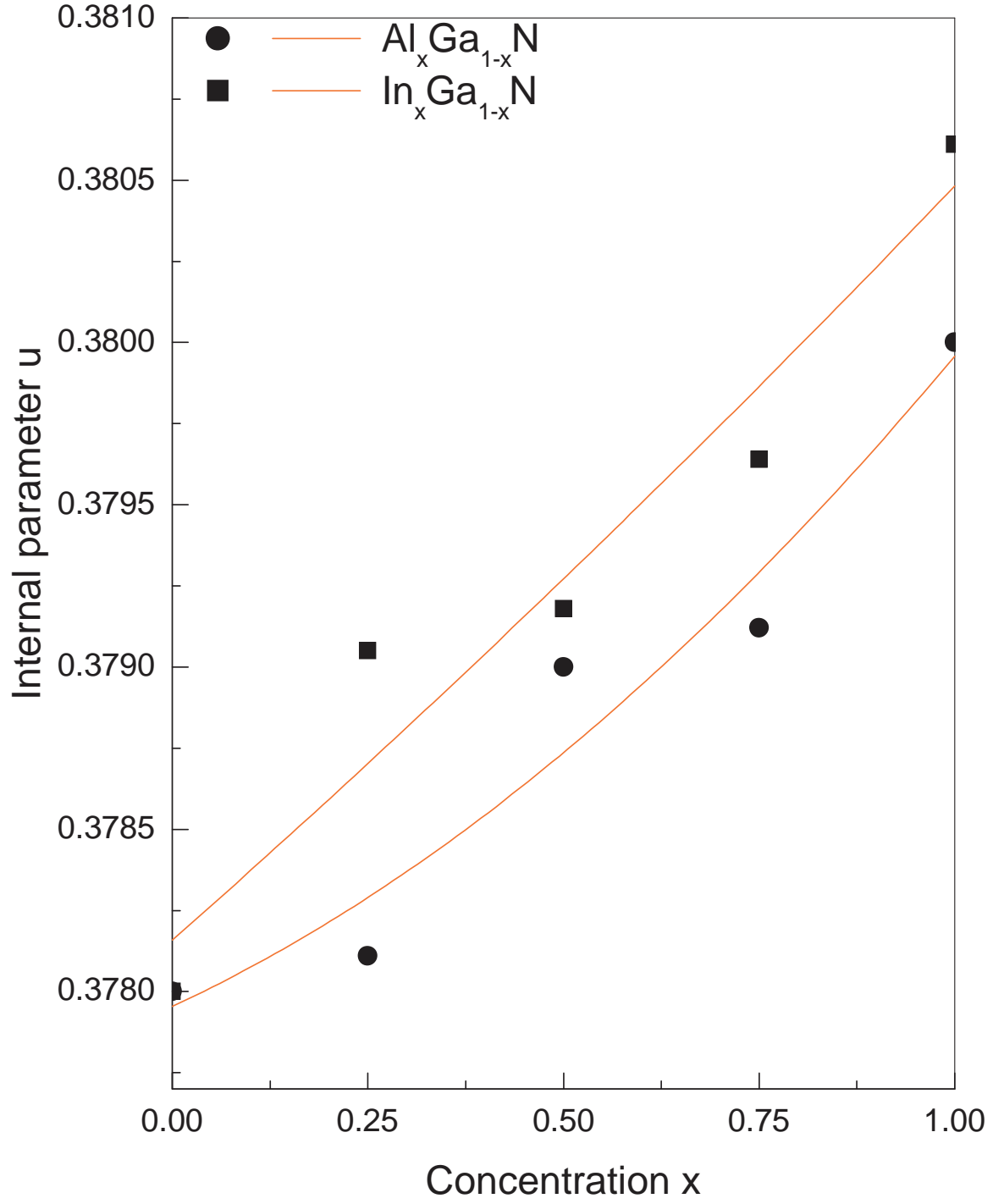


FIG. 2: Internal parameter  $u$  for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys a function of the Aluminium and Indium composition,  $x$ , respectively.

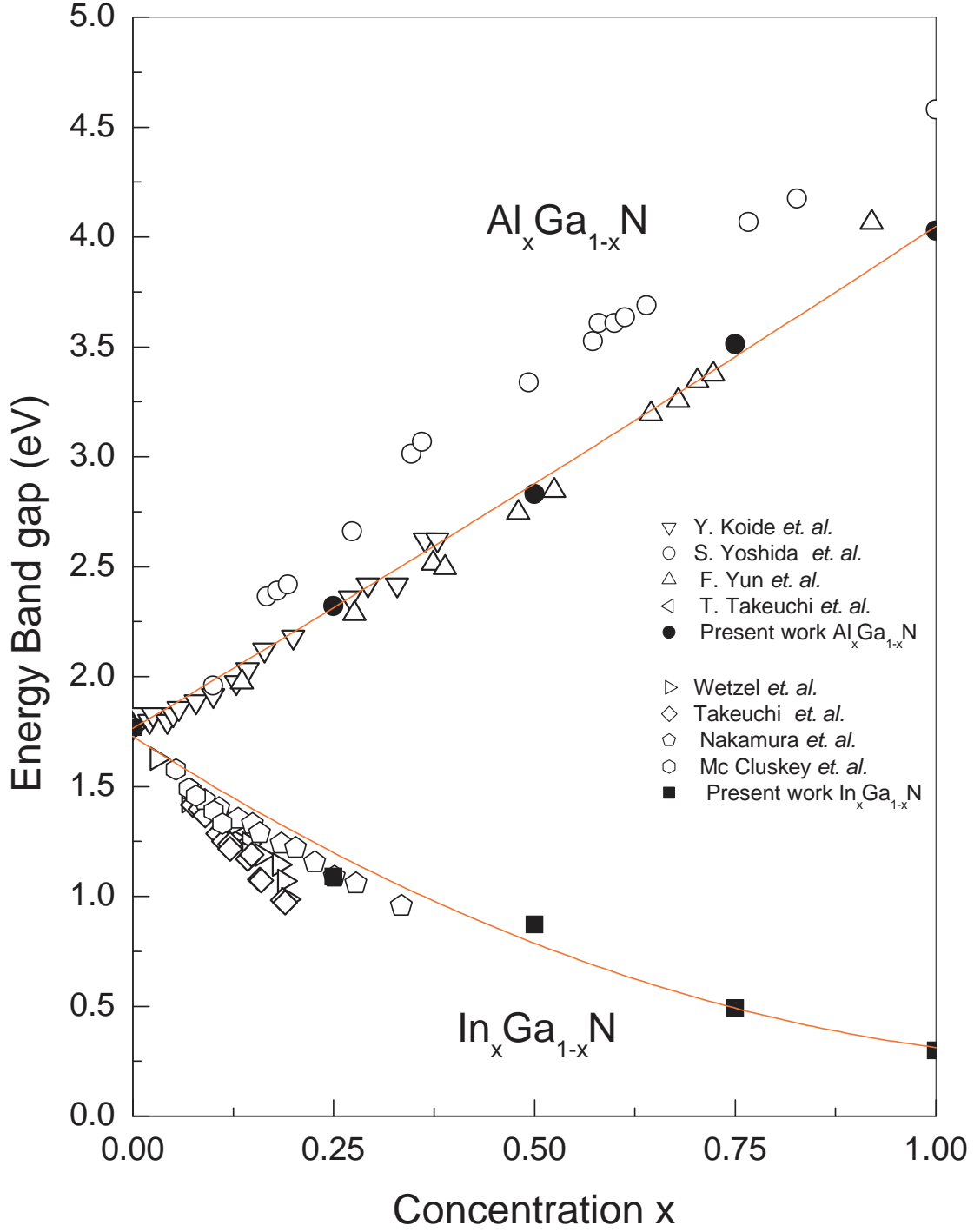


FIG. 3: Variation of the band gap for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys as a function of the concentration,  $x$ . We present the experimental results from Table 3 and the solid lines represent the proposed adjustment to our results (solid points)[72].

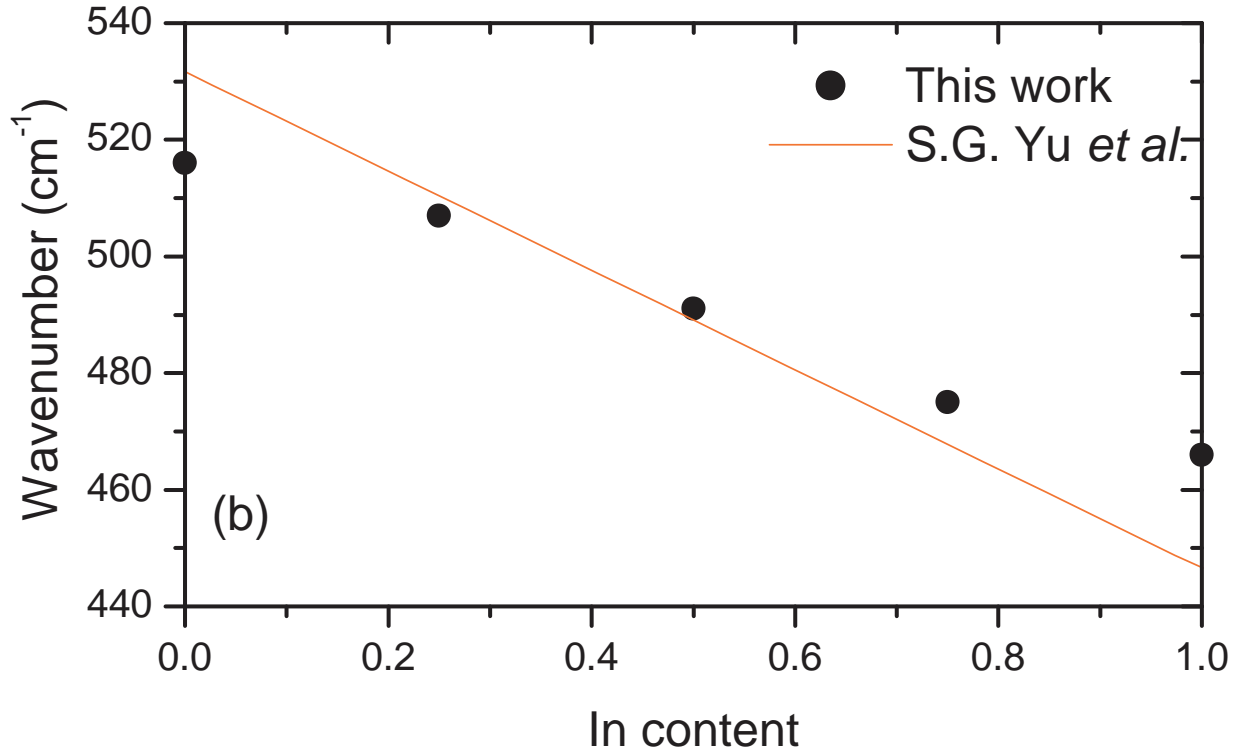
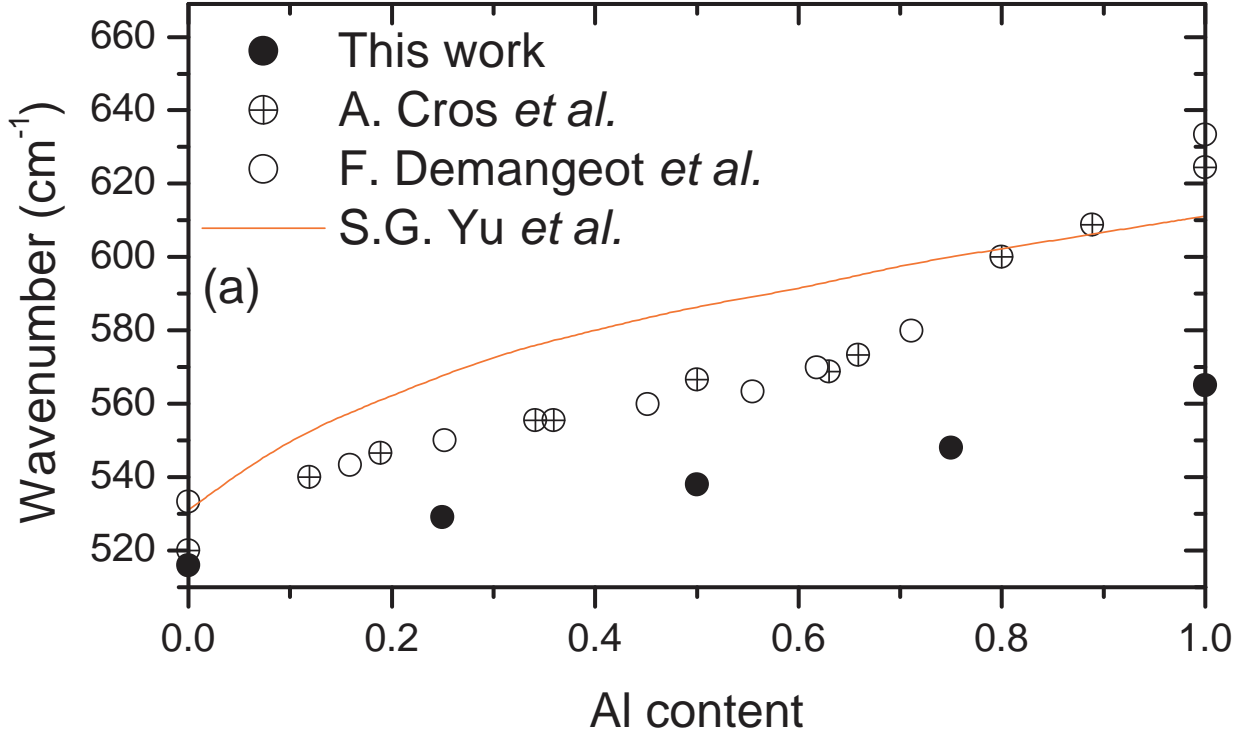


FIG. 4:  $A_1(TO)$  phonon dependence with Al concentration for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloy (upper figure), and as a function of the In concentration for  $\text{In}_x\text{Ga}_{1-x}\text{N}$  (lower figure). We present our calculations (full circles), the experimental results of Ref. [59] (open circles with plus), and Ref. [60] (open circles). Solid line depicts the calculated values from Ref. [61].